

**OFFICE OF THE COMMISSIONER OF CUSTOMS (EXPORT)
JAWAHARLAL NEHRU CUSTOMS HOUSE,
NHAVA SHEVA, TAL. URAN, DIST : RAIGAD,
MAHARASHTRA 400 707**

F.No. S/6-GEN-553/06(DFIA) Verification

Date : 17/11/2009

STANDING ORDER NO. 56/2009

Sub : Verification of Duty Free Import Authorization (DFIA) and monitoring of their Export obligation under the Foreign Trade Policy (FTP) 2009-14 Reg.

Attention of all staff concerned is invited to the Standing Order No. 41/06 dated 27.10.2006 wherein procedure was prescribed for verification of Duty Free Import Authorization (DFIA). Taking into account the changes brought in the New Foreign Trade Policy (FTP) 2009-14, a new Public Notice No. 85/09 dated 17.11.09 with regard to verification of DFIA has been issued. The procedure for verification of DFIA is now prescribed as under.

2. The DFIA Verification Cell will verify the DFIA and the documents submitted by the Authorization holders so as to confirm the compliance of the conditions of the Customs Notification No. 40/2006-Cus dated 01.05.2006 (as amended by Notification No. 19/09-Cus dated 24.02.2009) and Notification No. 98/2009-Cus dated 11.09.2009 and the following:

- (a) Value addition norms, as prescribed in the Policy, have been met.
- (b) The SION Norms have been correctly followed.
- (c) The Export Obligation has been correctly fulfilled.
- (d) Any other condition as stipulated in the Policy with respect to DFIA Scheme has been fulfilled.

3. As per Para 4.26 read with Para 4.33 of the Hand Book of Procedure (Vol. I), FTP 2009-14, the Regional Authority would issue an EODC/Redemption Certificate as prescribed in the Policy. The following documents are required to

be submitted for verification by the DFIA holders:-

- i) Original DFIA Licence alongwith the EODC/NBC.
- ii) Self attested EP Copies of the Shipping Bills & export invoices/ packing lists alongwith a Statement showing Shipping Bill wise details particularly the FOB & BRC value and weight/sqm etc.
- iii) Self attested copies of ARE-1/ARE-2, wherever applicable as a proof of non-availment of facility under Rule 18(rebate of duty paid on materials used in the manufacture of the resultant product) or sub-rule (2) of the Rule 19 of the Central Excise Rules 2002 or CENVAT Credit under CENVAT Credit Rules, 2004. In absence of the ARE-1 / ARE-2, a certificate issued by the jurisdictional Central Excise Authority on the above aspect may be submitted.
- iv) Self attested photo copy of the Bank Realization Certificate (BRC).
- v) In case of Deemed exports/intermediate exports, the unit receiving the materials shall submit a certificate from Central Excise authorities regarding the items of supply, quantity, value and the date of supply along with the relevant invoices. In respect of supplies made to EOU/EHTP/STP/BTP, the supplier can either submit a copy of ARE-3/CT-3 duly signed by the jurisdictional Central Excise authorities or the concerned Bond Officer as the case may be. Payment certificate from the Project Authority in the prescribed format may be submitted. In regard to these categories of supplies, the suppliers have to submit a statement of export realization issued by the Bank and also a declaration to the effect that such proceeds were realized through the normal banking channel.
- vi) Where required, a statement of supplies giving details of supply invoices and indicating the invoice no, date, FOR value as per

invoices and description of product & a statement of imports indicating Bill of Entry wise item of import, quantity of import and its CIF value.

vii) Any other document that may be prescribed by the Assistant Commissioner of Customs (In charge of Verification Cell).

4. The Original DFIA /file alongwith the aforesaid documents shall be received by the Tax Assistant (T.A) in DFIA Verification Cell and he shall keep a record in the register prescribed for the purpose. The Tax Assistant will verify whether the original Authorization and all other required documents have been correctly tendered at the time of submission. One copy of the acknowledgement

(as detailed in Annexure-A) shall be given by the T.A. to the person submitting the License indicating therein the Sr. No. and the Date of the entry in Receipt Register. The other two copies of acknowledgment will be retained in the file. The signature of the person submitting the Licence for verification with his full Name, CHA No. and Pass No. shall also be recorded in the said Register.

5. The Authorization should be duly verified as provided in the Para 4.26 read with Para 4.33 of the HBOP (Vol. I) [FTP 2009-14](#) by the Superintendent/Appraiser posted in the DFIA Verification Cell for the said purpose. The Superintendent/ Appraiser will check the status of EGM of the Shipping Bills in the EDI System. If the EGM appears on the EDI System, after due verification of the relevant file/documents, the concerned Superintendent/Appraiser will put his signature on the DFIA statements submitted by the Authorization holder giving details of the Shipping Bills and other relevant particulars. However, if the EDI System does not show the Status of the EGM of the Shipping Bills, the said Shipping Bills will be forwarded to MCD, JNCH, Nhava Sheva for verification of the EGM .On receipt of the status of the EGM, the relevant Shipping Bills will be verified by the concerned Superintendent/Appraiser and logged in the EDI System so as to render them ineligible to be used for any other purpose other than the intended one. Thereafter, the said file will be put up before the concerned D.C/A.C in charge of the DFIA Verification Cell for perusal and counter signature on the

Original Customs copy of the DFIA and the DFIA Statements submitted by the Authorisation holder.

6. The verified Original DFIA Licence and the related file will be handled by the Tax Assistant posted at the DFIA Verification Cell. The Original verified DFIA Licence and the DFIA Statement shall be returned **between 3.00 pm and 5.00 pm** on the day after the date on which the licenses were received for verification by the staff to the person from whom the licenses were received for verification. The DFIA Licence shall be delivered only to the person who had submitted the same for verification and not to any other person. The signature of the person collecting the verified Licence shall be taken on the copies of acknowledgments kept in office record and also in the prescribed Register.

7. The Authorization holder, there after, will go to Licence Section to get the verified DFIA registered in the JNCH EDI system. The procedure for Licence

Registration will be as per Public Notice 78/09 dated 21.10.09 issued with regard to Advance Authorizations.

8. Any difficulty faced in implementation of this Standing Order may be brought to the notice of the undersigned immediately.

(B. K. SINHA)
COMMISSIONER OF CUSTOMS (EXPORT),
JAWAHARLALNEHRUCUSTOM
HOUSE, NHAVA SHEVA

To,
All the Concerned
Copy to :-

- 1.The Chief Commissioner of Customs, Mumbai Zone II
- 2.The Commissioner of Customs (Import),JNCH

DATE:-

Received DFIA No. .
dtd for verification which has been entered in the prescribed register
at Sr. No. /.(year). Verified licence may be collected
between 3p.m. to 5 p.m. on , by the person who has submitted
the same.

Clerk/TA

DFIA Section

JNCH, SHEVA.

NAME & SIGNATUES OF
THE PERSON SUBMITTING
THE DOCUMENTS

DATE:

NAME & SIGNATUES OF THE
PERSON RECEIVING THE
ORIGIONAL DFIA LICENCE

DATE:

Clerk/TA

DF
IA

Section 
 J
NCH, SHEVA